

# Midterm Solutions

## The Limits of Logic

Fall 2022

### Problem 1

Let  $A$  and  $B$  be any sets, and let  $f : A \rightarrow B$  be any function. Let  $E : B \rightarrow \mathcal{P}A$  be the function defined as follows:

$$E(b) = \{a \in A \mid f(a) = b\}$$

Prove that for every  $a \in A$ , there is exactly one  $b \in B$  such that  $a \in E(b)$ .

*Solution* First we will show that there is *at least one* such  $b \in B$ , and then we will show that there is *at most one* such  $b \in B$ .

*Existence:* We have in particular

$$E(f(a)) = \{a' \in A \mid f(a') = f(a)\}$$

Clearly  $a \in E(f(a))$  (since  $f(a) = f(a)$ ). So there is some  $b \in B$  such that  $a \in E(b)$ .

*Uniqueness:* Suppose that there are  $b, b' \in B$  such that  $a \in E(b)$  and  $a \in E(b')$ . This means that  $f(a) = b$  and  $f(a) = b'$ . This implies that  $b = b'$ .

### Problem 2

Let the set of *even palindromes* be recursively defined as follows:

- The empty string  $()$  is an even palindrome.
- For any even palindrome  $s$ , and any symbol  $a \in \mathbb{A}$  in the standard alphabet, the string  $(a) \oplus s \oplus (a)$  is an even palindrome.

(To be clear,  $(a)$  is the length-one string that consists of just the symbol  $a$ .)

Part (a) Prove by induction on the definition of *even palindrome* that, for every even palindrome  $s$ , the length of  $s$  is an even number.

Solution Base case: the length of  $()$  is zero, which is an even number.

Inductive step: Let  $s$  be any even palindrome, and suppose the length of  $s$  is even. We will show that the length of  $(a) \oplus s \oplus (a)$  is also even. In fact,

$$\text{length}((a) \oplus s \oplus (a)) = 1 + \text{length } s + 1 = \text{length } s + 2$$

Since by the inductive hypothesis the length of  $s$  is even, this is also even.

Part (b) Write down a recursive definition of the function *reverse*, which takes any string  $s$  to the string that has the same symbols as  $s$  in reverse order. For example:

$$\begin{aligned} \text{reverse } ABC &= CBA \\ \text{reverse } ABBCA &= ACBBA \\ \text{reverse } B &= B \\ \text{reverse } () &= () \end{aligned}$$

Solution

$$\begin{aligned} \text{reverse } () &= () \\ \text{reverse}(a : s) &= (\text{reverse } s) \oplus (a) \end{aligned}$$

Part (c) Use your definition to prove by induction that for any string  $s$ ,  $s \oplus \text{reverse } s$  is an even palindrome.

Solution For the base case, we show that  $() \oplus \text{reverse}()$  is an even palindrome. This is true, since  $() \oplus \text{reverse}() = () \oplus () = ()$ .

For the inductive step, suppose that  $s \oplus \text{reverse } s$  is an even palindrome. We will show that  $(a : s) \oplus \text{reverse}(a : s)$  is also an even palindrome. In fact, using our definition

$$\begin{aligned} (a : s) \oplus \text{reverse}(a : s) &= (a : s) \oplus \text{reverse } s \oplus (a) \\ &= (a) \oplus (s \oplus \text{reverse } s) \oplus (a) \end{aligned}$$

Since  $s \oplus \text{reverse } s$  is an even palindrome by the inductive hypothesis, the definition of even palindromes tells us that this is also an even palindrome.

Problem 3

For each of the following sets, say whether it is **countable**, or **uncountable**. Explain each answer in sentence or two.

- (a) The set of all finite sequences of finite sequences of numbers.
- (b) The set of all finite sets of strings.
- (c) The set of all infinite sets of strings.
- (d) The set of all sets of even palindromes (as defined in problem 2).

Solution

- (a) **Countable.** We know that for any countable set  $A$ , the set  $A^*$  of all finite sequences of elements of  $A$  is also countable. So, since  $\mathbb{N}$  is countable,  $\mathbb{N}^*$  is countable, and then applying the same fact again,  $\mathbb{N}^{**}$  is also countable.
- (b) **Countable.** We know that the set of all finite *sequences* of strings is countable (by the same fact we used in part (a)). Furthermore, there are no more finite *sets* of strings than there are finite *sequences* of strings. We can see this because there is an onto function that takes each finite sequence of strings to a finite set—namely, the set of all of the elements of that sequence. (For example, this takes  $(A, B, A)$  to  $\{A, B\}$ .)
- (c) **Uncountable.** The set  $PS$  of *all* sets of strings is uncountable, and by part (b) the set of *finite* sets of strings is countable—call this  $P_0S$ . Since  $PS$  is the union of  $P_0S$  and the set of infinite sets of strings, the latter set must *not* be countable.
- (d) **Uncountable.** There are infinitely many even palindromes: for example each of the strings  $AA, AAAA, AAAAAA, \dots$  is an even palindrome. We know that the set of all subsets of an infinite set is uncountable.

Problem 4 Let  $L$  be a signature containing one constant symbol  $c$ , one one-place function symbol  $f$ , and no other basic symbols. Let  $S$  and  $S'$  be  $L$ -structures. Let  $h : D_S \rightarrow D_{S'}$  be any function from the domain of  $S$  to the domain of  $S'$ .

Suppose that

$$c_{S'} = h(c_S)$$

That is, the denotation of  $c$  in  $S'$  is the result of applying the function  $h$  to the denotation of  $c$  in  $S$ .

Suppose also that for every  $d \in D_S$ ,

$$f_{S'}(h(d)) = h(f_S(d))$$

In other words, for any pair  $(d, d') \in D_S \times D_S$ ,

$$\text{If } f_S(d) = d' \text{ then } f_{S'}(h(d)) = h(d')$$

In this sense, the function  $h$  takes the extension of  $f$  in  $S$  to the extension of  $f$  in  $S'$ .

Part (a) Prove by induction that, for any (closed)  $L$ -term  $t$ , if  $t$  denotes  $d$  in  $S$ , then  $t$  denotes  $h(d)$  in  $S'$ . In other words, for any  $L$ -term  $t$ ,

$$\llbracket t \rrbracket_{S'} = h(\llbracket t \rrbracket_S)$$

Solution *Base case.*

$$h(\llbracket c \rrbracket_S) = h(c_S) = c_{S'} = \llbracket c \rrbracket_{S'}$$

*Inductive step.* Let  $t$  be any term. Suppose that  $\llbracket t \rrbracket_{S'} = h(\llbracket t \rrbracket_S)$ . We will show that  $h(\llbracket f(t) \rrbracket_S) = \llbracket f(t) \rrbracket_{S'}$ . In fact:

$$\begin{aligned} h(\llbracket f(t) \rrbracket_S) &= h(f_S \llbracket t \rrbracket_S) \\ &= f_{S'}(h(\llbracket t \rrbracket_S)) \\ &= f_{S'}(\llbracket t \rrbracket_{S'}) \\ &= \llbracket f(t) \rrbracket_{S'} \end{aligned}$$

Part (b) Use part (a) to prove that, for any  $L$ -terms  $a$  and  $b$ , if  $\llbracket a \rrbracket_S = \llbracket b \rrbracket_S$ , then  $\llbracket a \rrbracket_{S'} = \llbracket b \rrbracket_{S'}$ .

Solution If  $\llbracket a \rrbracket_S = \llbracket b \rrbracket_S$ , then

$$h(\llbracket a \rrbracket_S) = h(\llbracket b \rrbracket_S)$$

By part (a), the left-hand side is  $\llbracket a \rrbracket_{S'}$  and the right-hand side is  $\llbracket b \rrbracket_{S'}$ . So these are equal.

Problem 5

Consider a signature  $L$  that contains one two-place predicate symbol  $F$ , and no other basic symbols. For each of the following sets of  $L$ -sentences, either prove that it is consistent, or prove that it is inconsistent.

Part (a) The set containing the following two sentences:

$\exists x \forall y F(x, y)$   
 $\forall x \neg \exists y F(x, y)$

Solution **Inconsistent.** Let  $S$  be any structure, and suppose first that  $\forall x \neg \exists y F(x, y)$  is true in  $S$ . That means that for every  $d_1 \in D_S$ , it is not the case that there is some  $d_2 \in D_S$  such that  $(d_1, d_2)$  is in  $F_S$  (the extension of  $F$  in  $S$ ). In other words, there are no  $d_1$  and  $d_2$  in  $D_S$  such that  $(d_1, d_2) \in F_S$ ; the extension of  $F$  is empty.

Suppose next that  $\exists x \forall y F(x, y)$  is true in  $S$ . That means that there is some  $d_1 \in D_S$  such that for every  $d_2 \in D_S$ ,  $(d_1, d_2)$  is in  $F_S$  (the extension of  $F$  in  $S$ ). In particular,  $(d_1, d_1) \in F_S$ . So the extension of  $F_S$  is not empty.

This is a contradiction, so both suppositions cannot be true: that is, there is no structure in which  $\forall x \neg \exists y F(x, y)$  and  $\exists x \forall y F(x, y)$  are both true. So the set containing both sentences is inconsistent.

Part (b) The set containing the following two sentences:

$\neg \exists x \forall y F(x, y)$   
 $\forall x \exists y F(x, y)$

Solution **Consistent.** We can show this by providing a model. We can let  $S$  be a structure such that

$$D_S = \{1, 2\}$$
$$F_S = \{(1, 1), (2, 2)\}$$

In a picture:

1	2
•	•
∪	∪

- $\neg\exists x \forall y F(x,y)$  is true in  $S$ : there is no  $d_1 \in D_S$  such that, for every  $d_2 \in D_S$ ,  $(d_1, d_2) \in F_S$ . In the case of 1, we have  $(1, 2) \notin F_S$ , and in the case of 2, we have  $(2, 1) \notin F_S$ .
- $\forall x \exists y F(x,y)$  is true in  $S$ : for each  $d_1 \in D_S$ , there is some  $d_2 \in D_S$  such that  $(d_1, d_2) \in F_S$ . In the case of 1 we have  $(1, 1) \in F_S$  and in the case of 2 we have  $(2, 2) \in F_S$ .

Part (c) The set containing the following two sentences:

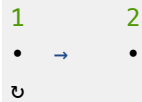
$\exists x \forall y F(x,y)$   
 $\neg\forall x \exists y F(x,y)$

Solution **Consistent.** Again we provide a model. Let  $S$  be a structure such that

$$D_S = \{1, 2\}$$

$$F_S = \{(1, 1), (1, 2)\}$$

In a picture:



- $\exists x \forall y F(x,y)$  is true in  $S$ : there is some  $d \in D_S$ , namely 1, such that for every  $d_2 \in D_S$  we have  $(d_1, d_2) \in F_S$ . That is,  $(1, 1)$  and  $(1, 2)$  are both in  $F_S$ .
- $\neg\forall x \exists y F(x,y)$  is true in  $S$ : not every  $d_1 \in D_S$  is such that for some  $d_2 \in D_S$  we have  $(d_1, d_2) \in F_S$ . In particular, 2 is a counterexample, since neither  $(2, 1)$  nor  $(2, 2)$  is in  $F_S$ .

Problem 6

Prove the following:

Part (a) For any set of sentences  $X$  and any sentences  $A$ , and  $B$ , if  $X \cup \{A, B\}$  is inconsistent, then  $X \models \neg(A \wedge B)$ .

Solution Suppose that  $X \cup \{A, B\}$  is inconsistent, and let  $S$  be any model of  $X$ . Then, since  $X \cup \{A, B\}$  has no models, either  $A$  is false in  $S$ , or  $B$  is false in  $S$ . In either case,  $A \wedge B$  is false in  $S$ , and thus  $\neg(A \wedge B)$  is true in  $S$ . This shows that  $\neg(A \wedge B)$  is true in every model of  $X$ , which means  $X \models \neg(A \wedge B)$ .

Part (b) For any set of sentences  $X$ , any terms  $a$  and  $b$ , and any formula  $A(x)$ , if  $X \cup \{a = b, A(a)\}$  is consistent, then  $X \cup \{A(b)\}$  is consistent.

Solution Suppose  $X \cup \{a = b, A(a)\}$  is consistent: that is, it has a model. So let  $\mathcal{S}$  be such a model. Then, since  $a = b$  is true in  $\mathcal{S}$ , we have  $\llbracket a \rrbracket_{\mathcal{S}} = \llbracket b \rrbracket_{\mathcal{S}}$ , and since  $A(a)$  is true in  $\mathcal{S}$ ,  $A(x)$  is true of  $\llbracket a \rrbracket_{\mathcal{S}}$  in  $\mathcal{S}$  (by the Substitution Lemma). Then  $A(x)$  is also true of  $\llbracket b \rrbracket_{\mathcal{S}}$  in  $\mathcal{S}$ . So  $A(b)$  is true in  $\mathcal{S}$  (by the Substitution Lemma again). Thus  $\mathcal{S}$  is a model of  $X \cup \{A(b)\}$ . Since this set has a model, it is consistent.